

# **Patient Safety: A Competitive Weapon in Hospital Management**

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## Analysis

## Medical error—the third leading cause of death in the US

BMJ 2016 ; 353 doi: <http://dx.doi.org/10.1136/bmj.i2139> (Published 03 May 2016)

Cite this as: BMJ 2016;353:i2139



BMJ talk medicine

Medical error—the third leading cause of death in the US

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News / India / Tamil Nadu: Two die in Ambur due to absence of doctors in hospital

## Tamil Nadu: Two die in Ambur due to absence of doctors in hospital

Two patients died before a doctor could attend them. Outraged by the loss of their loved ones, the family members of the deceased blocked the hospital, causing panic among other patients.



Pramod Madhav  
Vellore  
August 15, 2017. UPDATED: August 15, 2017 14:00 IST



THE TIMES OF INDIA  
CITY

City Patna Mumbai Delhi Bengaluru Hyderabad Kolkata Chennai Agartala Agra Almer Am...

## Medical negligence in Bihar: Left hand fractured, plaster cast on right

ANI | Updated: Jun 26, 2019, 12:52 IST



DARBHANGA: A case of medical negligence from Darbhanga Medical College Hospital has come into light after an orthopaedic doctor plastered the wrong hand of the boy who fell from a mango tree.

PKL 2019 INDIA WORLD

Tamil News » Medical Negligence

## Medical Negligence



பிறந்த குழந்தையுடன் TikTok வீடியோவில் நடனமாடிய செவிலியர்கள்...

ஒடிசா மருத்துவமனை மகப்பேரு வார்டில் TikTok

NDTV

SECTIONS HOME | MUMBAI

## Delhi: Doctor's medical negligence leads to death

## Woman Gets ₹ 15 Lakh Payout In "Medical Negligence" Case

In 2011, the woman moved the Maharashtra State Consumer Disputes Redressal Commission seeking compensation on account of "medical negligence" in treatment of her husband.

Mumbai | Press Trust of India | Updated: August 02, 2019 13:07 IST

TRENDING



Watch: David Warner's Epic Response To 'Sandpaper' Chants In Edgbaston



How India's Shadow Banking Crisis Sent Auto Sector Into Tailspin



Tiwari after examining the child, gave medicine soon after. Family members stated that Tiwari gave a wrong medicine to the child.

Service | • New Delhi |  
8, 2018 4:39:04 am



But it comes to patient safety, the numbers are startling



.....1 in 10 patients will be harmed during a hospital stay

WHO, 2014

# The risk of a hospital-associated infection is significant

In the USA, if you are admitted to a hospital, you have a 5% chance of contracting an HAI



**2 million**

People per year get an HAI during a hospital stay

Of these  
> 99,000

People die annually from HAIs

**US\$ 28 – 33 billion  
per year  
in healthcare costs**



**30%** Of Intensive care Unit patients develop HAI



# Not just a problem for Hospitals

**Of 8.8 million  
Outpatient  
adverse drug  
events, more  
than 3 million  
are estimated to  
be preventable**



James 2013

- Half the global burden of patient harm originates in primary and ambulatory care, with as many as four out of 10 patients facing safety lapses (*Slawomirski L et al 2018*)
- It is estimated that up to 80% of harm in primary care settings can be avoided. (*Slawomirski L et al 2018*)
- Poor-quality care imposes costs of US\$ 1.4 trillion to 1.6 trillion each year in lost productivity in low- and middle-income countries (National Academies of Sciences, Engineering, and Medicine, 2018) At the political level, the cost of safety failure includes loss of trust in health systems, in governments and in social institutions. (*Slawomirski L et al 2017*)

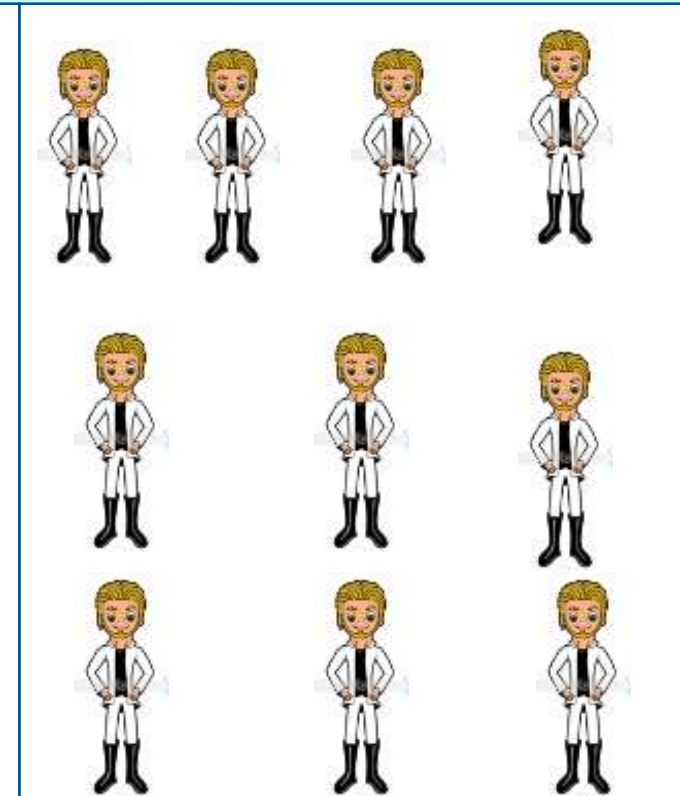
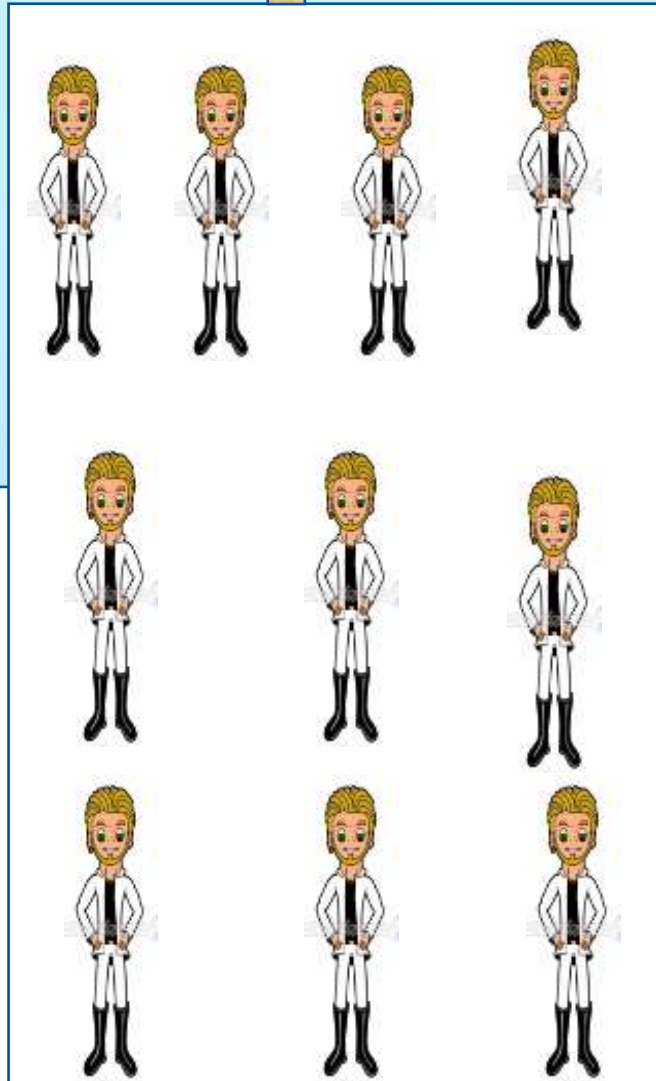
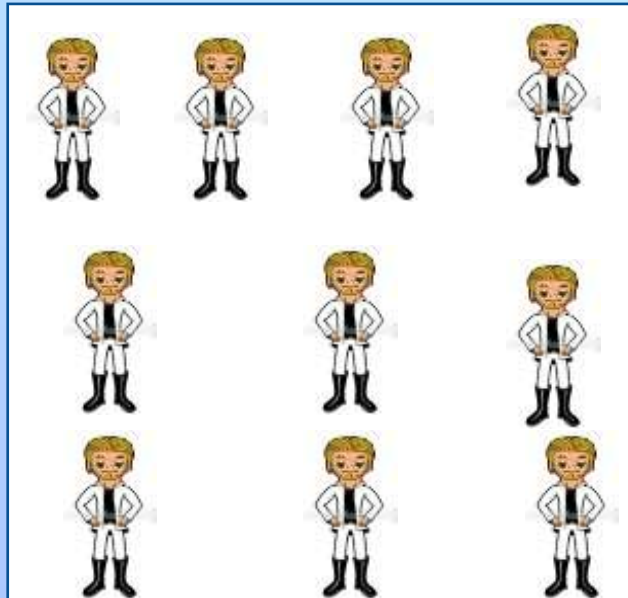


# Patient Safety Definition

- The avoidance, prevention, and amelioration of adverse outcomes or injuries stemming from the processes of healthcare. These events include 'errors', deviations', and 'accidents.'



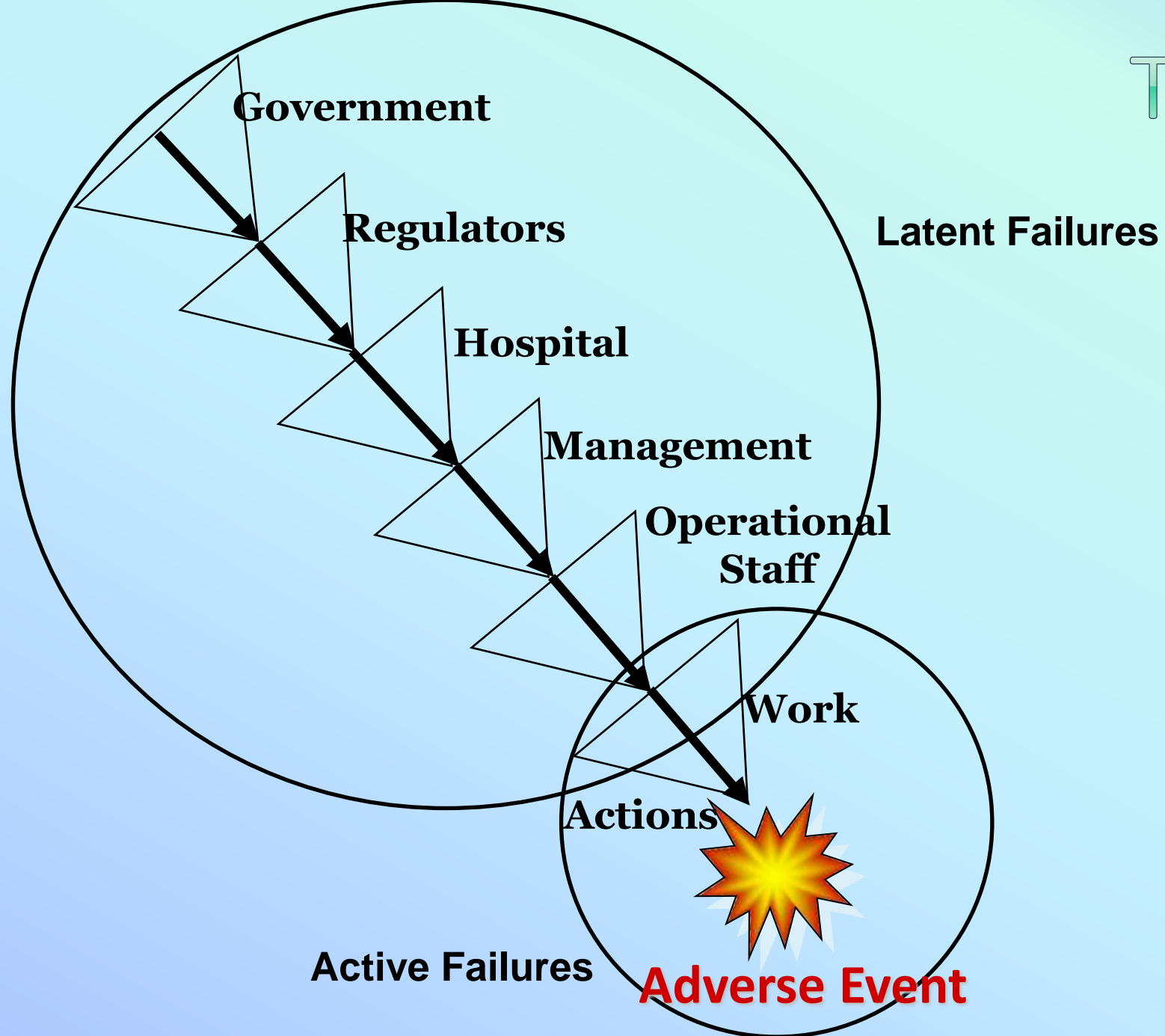
Quality Improvement  
Raising the Ceiling



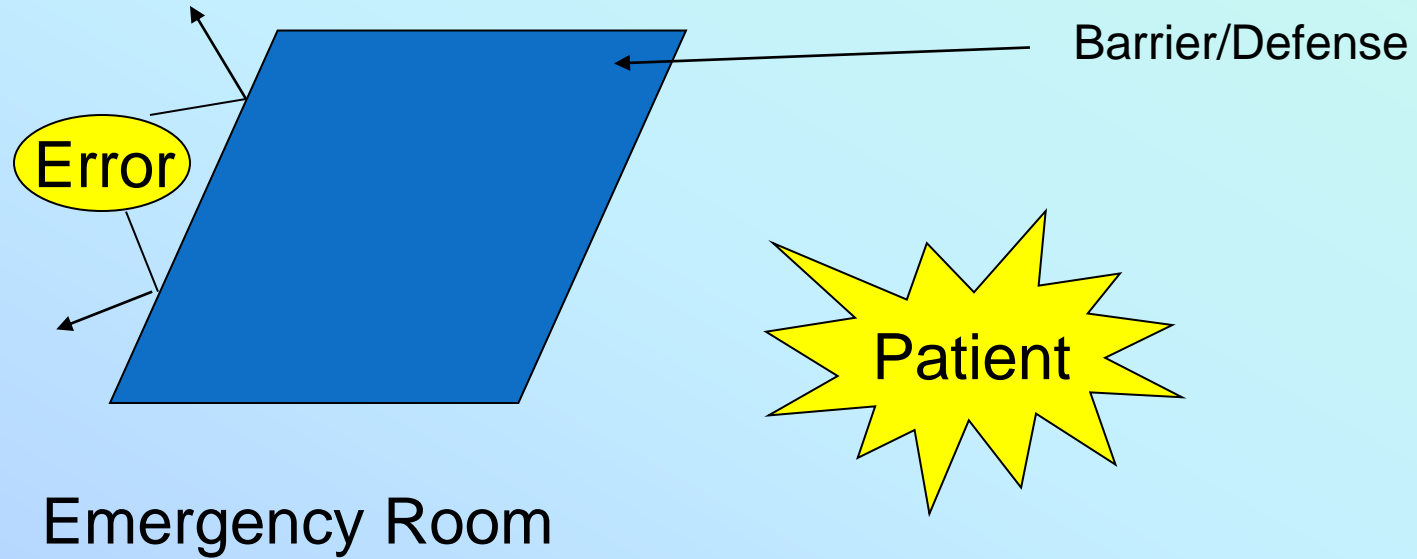
Patient Safety  
Raising the Floor



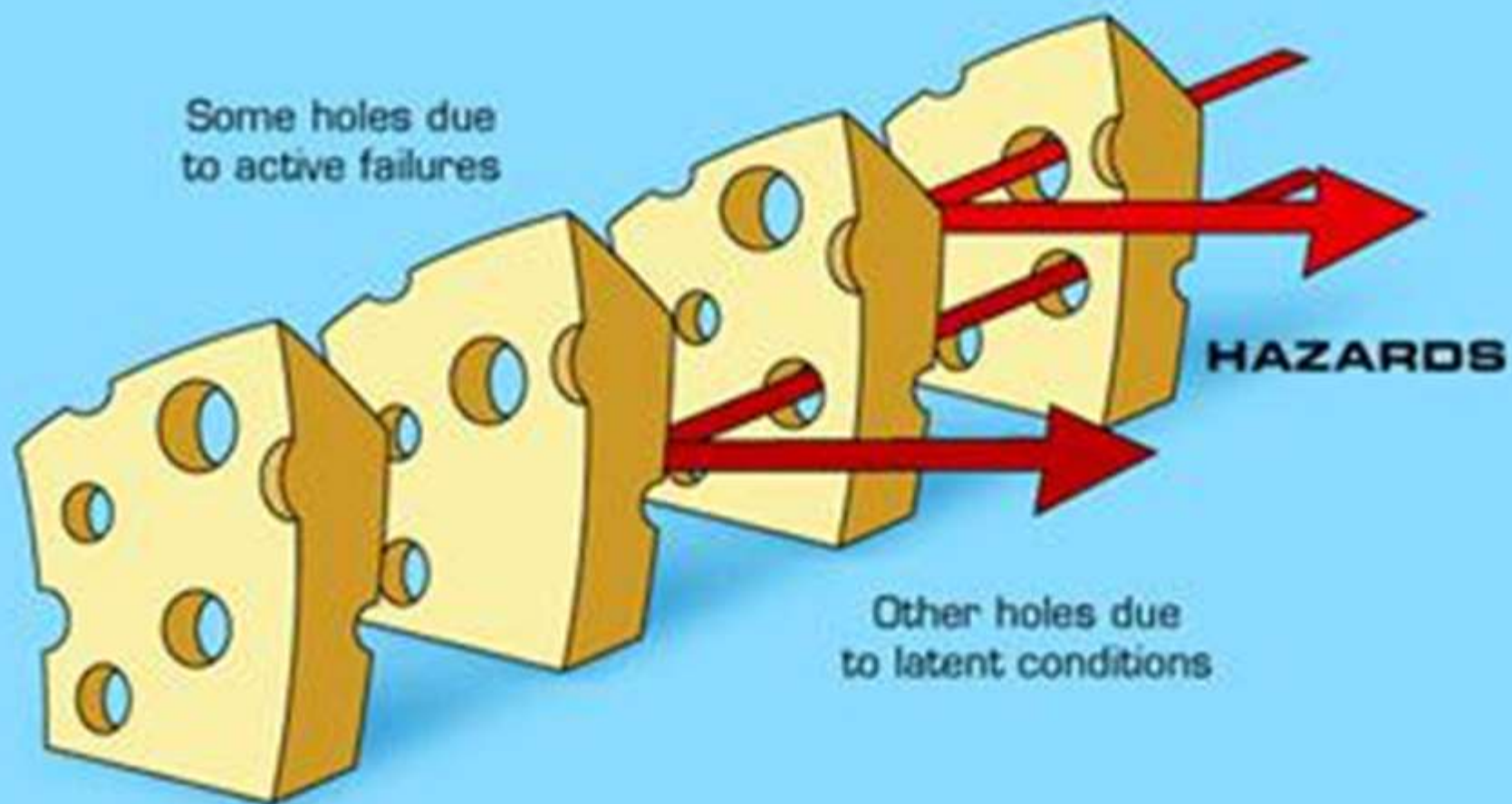
# THE ARROW



# Patient Safety Systems

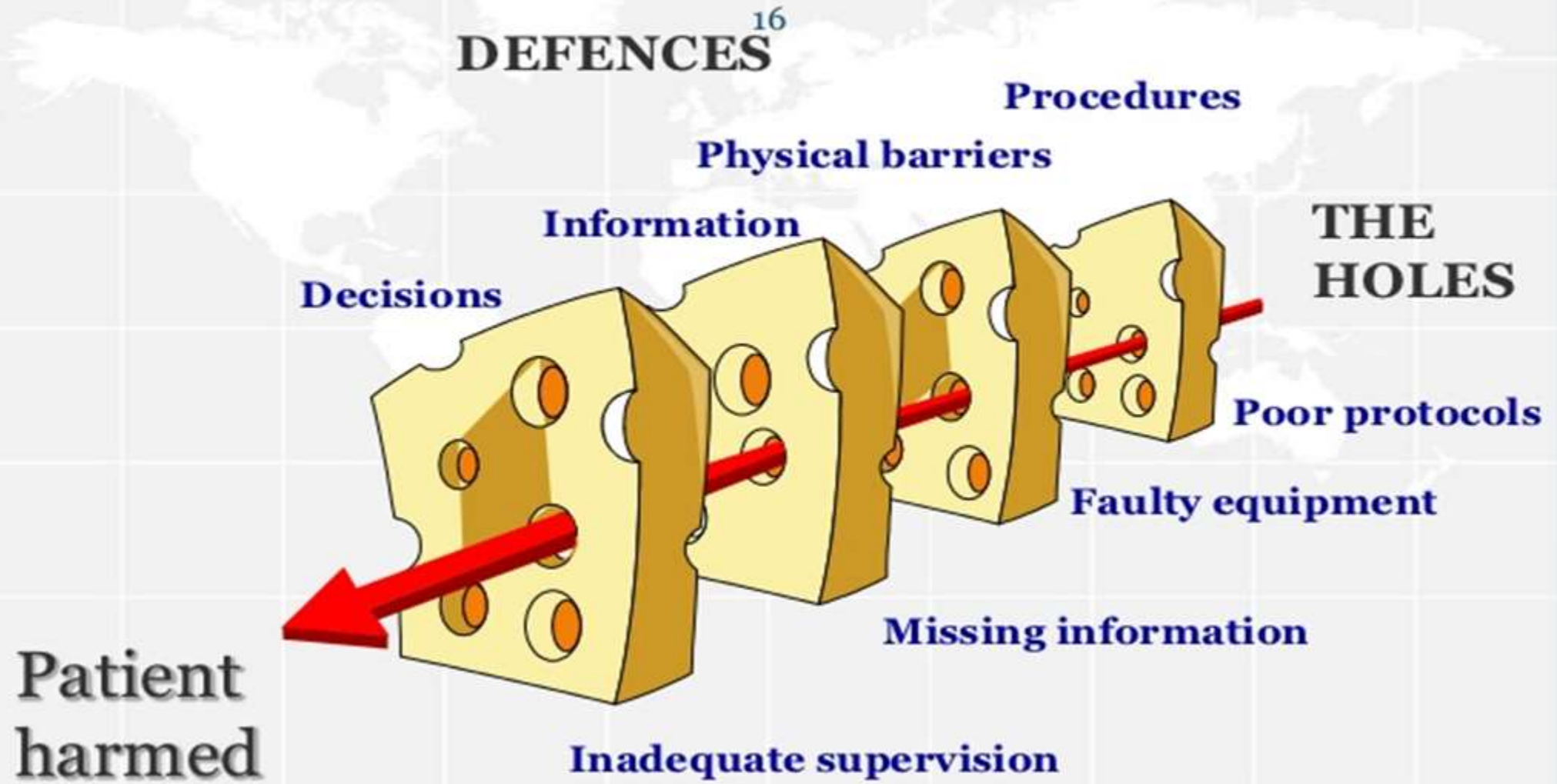






**SUCCESSIVE LAYERS OF DEFENSES**

# THE SWISS CHEESE MODEL



Adapted from Professor James Reason

# Types of Errors

## System Errors (Latent)

- Heavy workload/Fatigue
- Incomplete or unwritten policies
- Inadequate training or supervision
- Inadequate maintenance of equipment/buildings
- Communication

## Human Mistakes (Active)

- Action slips or failures (e.g. picking up the wrong syringe)
- Cognitive failures (e.g. memory lapses, mistakes through misreading a situation)
- Violations (i.e. deviation from standard procedures; e.g work-arounds)



# Types of Medical Errors

***Medication errors:*** Errors can occur at any point in the medication use chain ordering stage, transcribing stage, dispensing stage or administration stage. A study in US showed that of these errors ordering stage (56%) and administration stage (34%) constitutes the most.

***Surgical errors:*** Patient safety issues in surgery include those common to other fields (e.g.,) medication errors, nosocomial infections, communication mishaps), but also several specific to surgery ( e.g., wrong-site surgery, retained sponges and instruments).

***Diagnostic errors:*** Despite advances in laboratory testing, clinical imaging, and information technology, diagnostic errors remain commonplace. Clinicians' diagnostic and therapeutic actions are influenced by both patient-related and clinician-related (e.g., past experience) factors.

***Human factors and errors at the person-machine interface:*** Human Factors Engineering (HFE) is the applied science of systems design. It is concerned with the interplay of humans, machines and their work environment. Thoughtful applications of HFE principles can help prevent errors at the person-machine interface.

***Transition and Handoff Errors:*** Errors at the time of transitions (also known as handoff errors) are among the most common errors in healthcare. Handoffs can be site-to-site (eg., transferring a patient from primary care hospital to a secondary care hospital or vice versa) or person-to-person (eg. One Nursing Officer signing out to another when changing over the shifts). Therefore it is vital to hand over the patients either to a site or to a person with all possible information.

***Teamwork work and communication errors:*** The provision of high quality, safe healthcare is increasingly a team sport. Well functioning teams are characterized by appropriate authority gradients and hierarchies that don't stifle the free flow of information. As long as effective teamwork and communication strategies are employed, the patient safety will not be compromised. High functioning teams use strategies such as effective introductions, debriefings and sharing their knowledge and experiences on patient care.



***Hospital Acquired Infections*** – Recently infection control activities have been characterized by many as a subset of patient safety, implying that many healthcare associated infections are caused by medical errors (failure to adhere to evidence-based prevention strategies). The new field of patient safety can learn much from the older fields of hospital epidemiology and infection control – particularly, the use of standardized definitions, the importance of data collection and analysis, and the key role of professionals to monitor safety problems and implement safe practices.

***Other complications of healthcare*** - There are several other complications of healthcare under the patient safety umbrella. These include pressure ulcers, patient falls and Venous Thromboembolism (VTE).

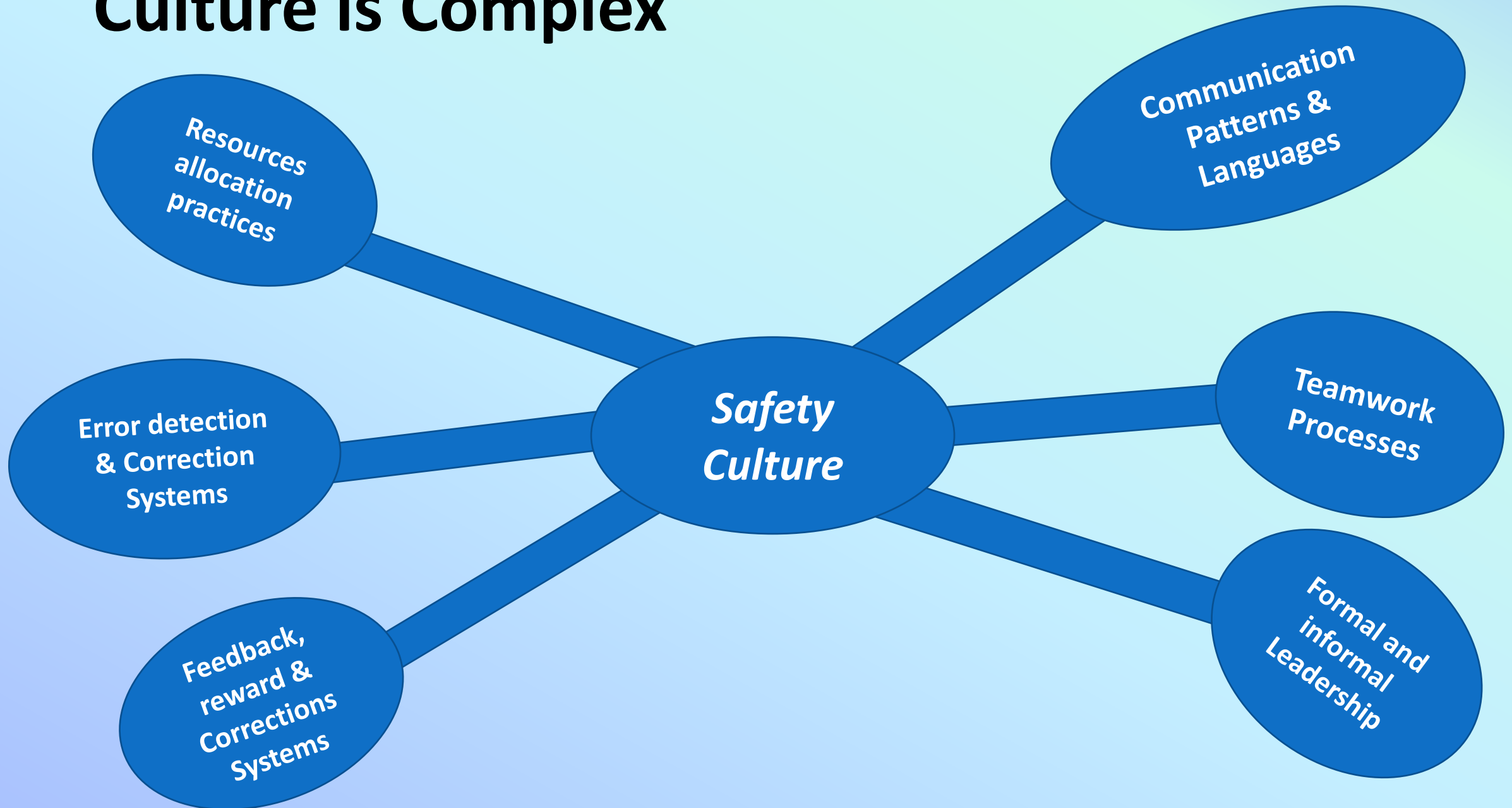
# Culture – The Content for Team Success

Culture is the compass, team members use to guide their behaviors, attitudes, & perceptions on the job.



- What will I get praised for?
- What will I get reprimanded for?
- What is the “right” thing to do?

# Culture is Complex







# Just Culture – Where is the Balance?

**Blame – Free**

**It's the system's  
fault. We cannot  
hold the  
provider  
accountable**

**Amnesty**

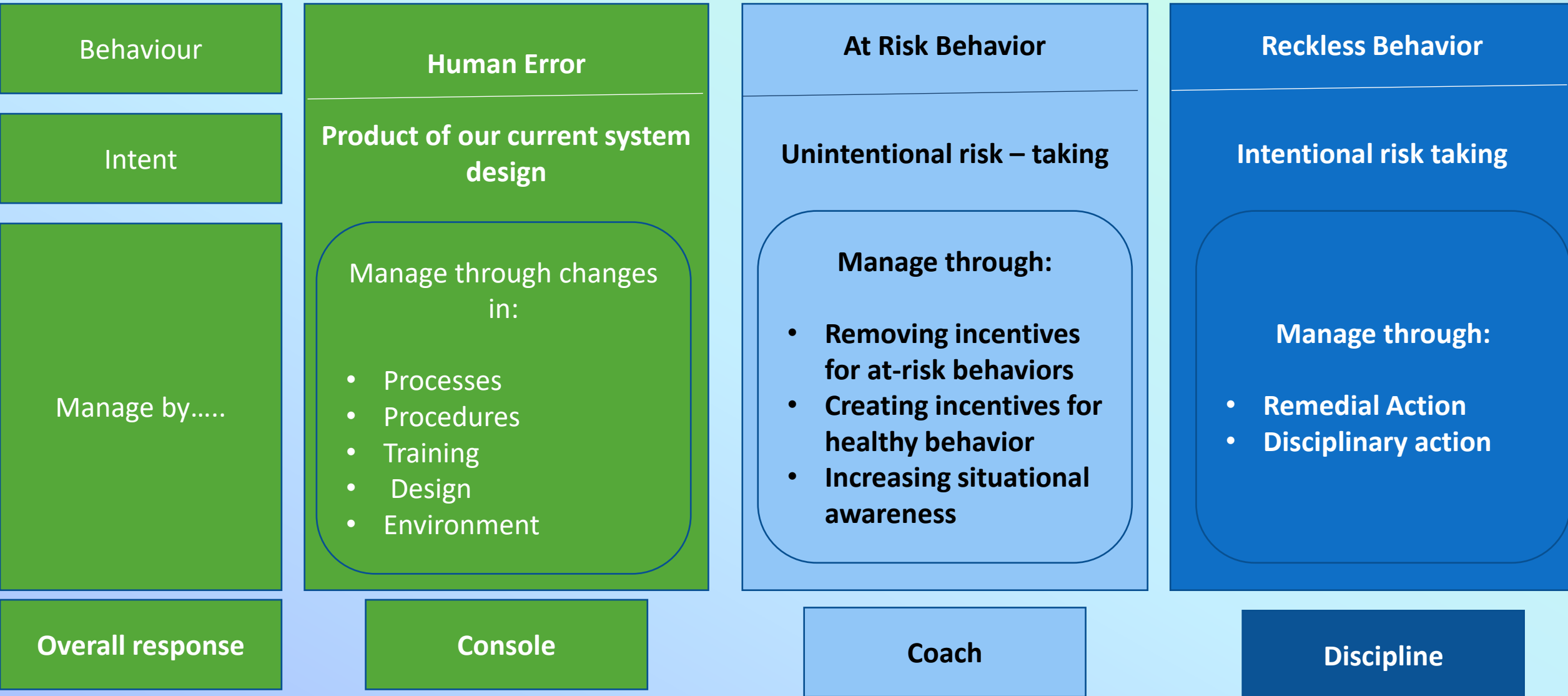
**Name  
Shame  
Blame**

**Punitive**

**It's the  
provider's fault**

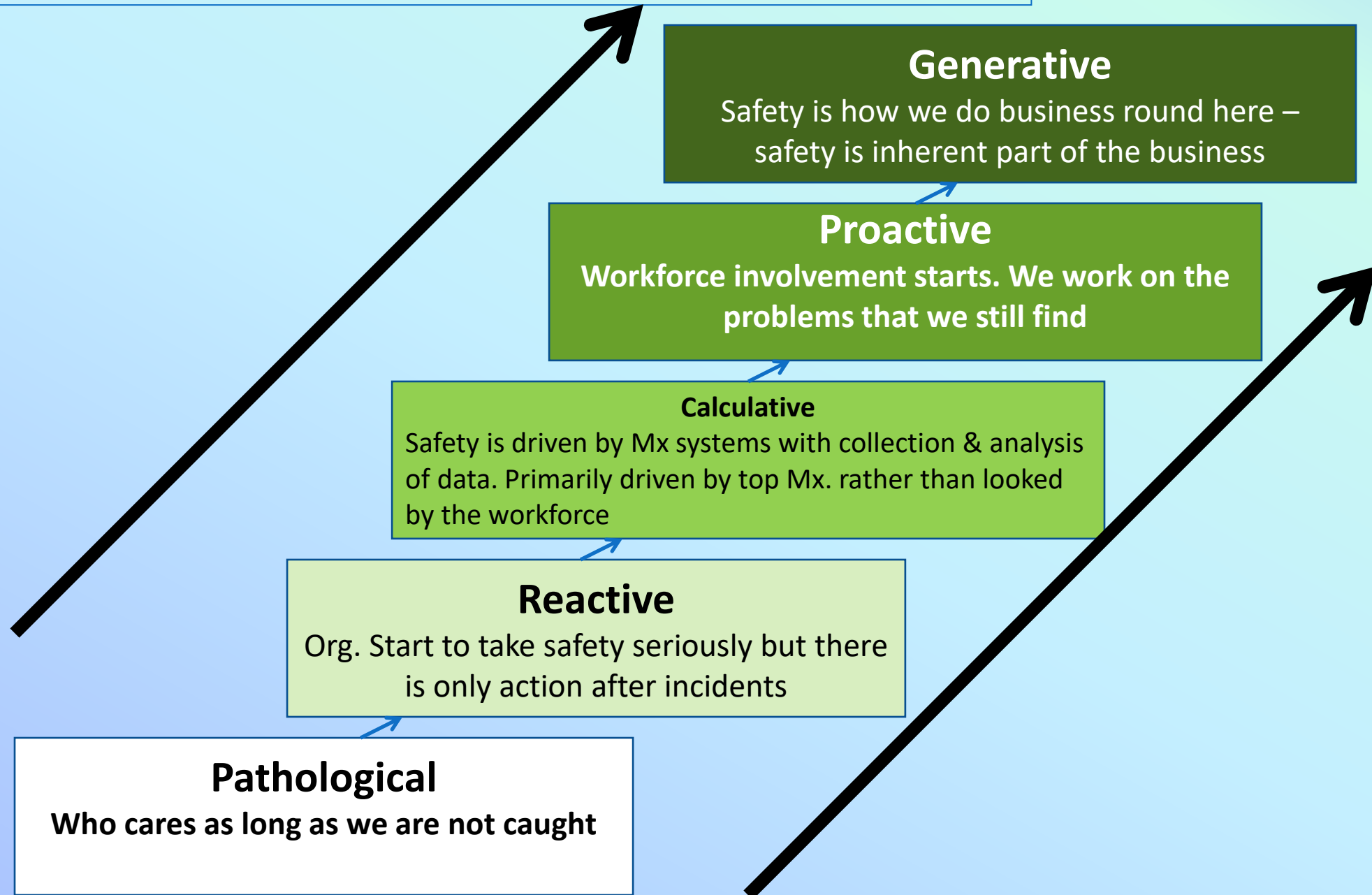


# Types of behaviors and responses



**Intentions matter and should drive our responses**

# THE EVOLUTION OF SAFETY CULTURE





# Leadership in Patient Safety

## Desired Roles



# More Effective Communications



Accuracy

Structure

Clarity

Completeness

Brevity

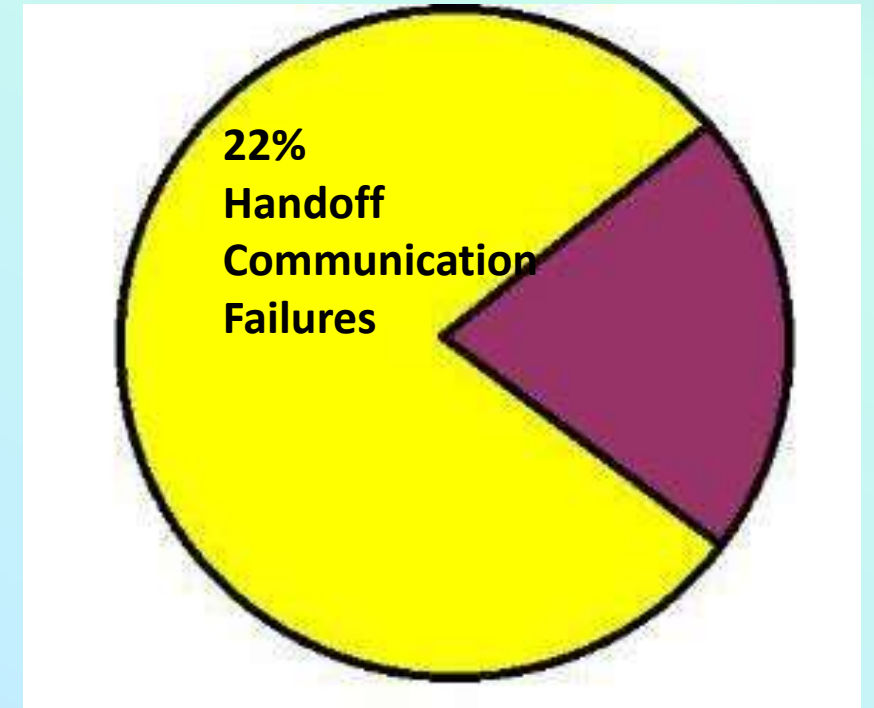
Timeliness

# Handoffs

On Average, last 35 seconds



- Over 1/5 include omissions or inaccurate information
- Most do not include questions from handoff recipients



**Trust**

**Task-  
Appropriateness  
Assertiveness**

**Team  
Empowerment**

**Mutual  
Support**

**Effective conflict  
Management**





**Teams drive success in safety work**

## ***Science of Safety***

**TEAMS!**

**Proactively  
Seeking  
different  
views**

**Respecting  
the value we  
each bring**

**Continuously  
focusing on  
teamwork**

# Situation Awareness – STEP Approach

**Status  
of the patient**



**Team  
Members**



**Environment**



**P**rogress  
towards  
goal



- Patient history
- Vital Signs
- Medications
- Physical Exam
- Plan of care
- Psychosocial condition

# Situation Awareness

# – STEP Approach

**Status  
of the patient**



**Team  
Members**



**Environment**



- Fatigue
- Workforce
- Task performance
- Skill level
- Stress level

**Progress  
towards  
goal**



# Individual factors that predispose to error

- Limited memory capacity
- Further reduced by:
  - fatigue
  - stress
  - hunger
  - illness
  - language or cultural factors
  - hazardous attitudes



# Don't forget ....

If you're

- **H**ungry
- **A**ngry
- **L**ate
- or
- **T**ired .....

**H**

**A**

**L**

**T**

# A performance-shaping factors “checklist”

- **I**      Illness
- **M**      Medication
  - prescription, alcohol and others
- **S**      Stress
- **A**      Alcohol
- **F**      Fatigue
- **E**      Emotion

Am I safe to work today?

Jensen, 1987

# Situation Awareness

# – STEP Approach

**Status  
of the patient**



**Team  
Members**



**Environment**



- Facility Information
- Administrative Information
- Human Resources
- Triage acuity
- Equipment

**Progress  
towards  
goal**



# Situation Awareness

# – STEP Approach

**Status  
of the patient**



**Team  
Members**



**Environment**



- Status of team's patient(s)?
- Goal of Team?
- Actions completed?
- Actions that are needed?
- Plan still appropriate?

**Progress  
towards  
goal**





# How to use event reporting data



**Finding System Defects**



**Monitoring New Processes**



**Identifying good catches**



**Monitoring policy compliance**



**Monitoring policy effectiveness**

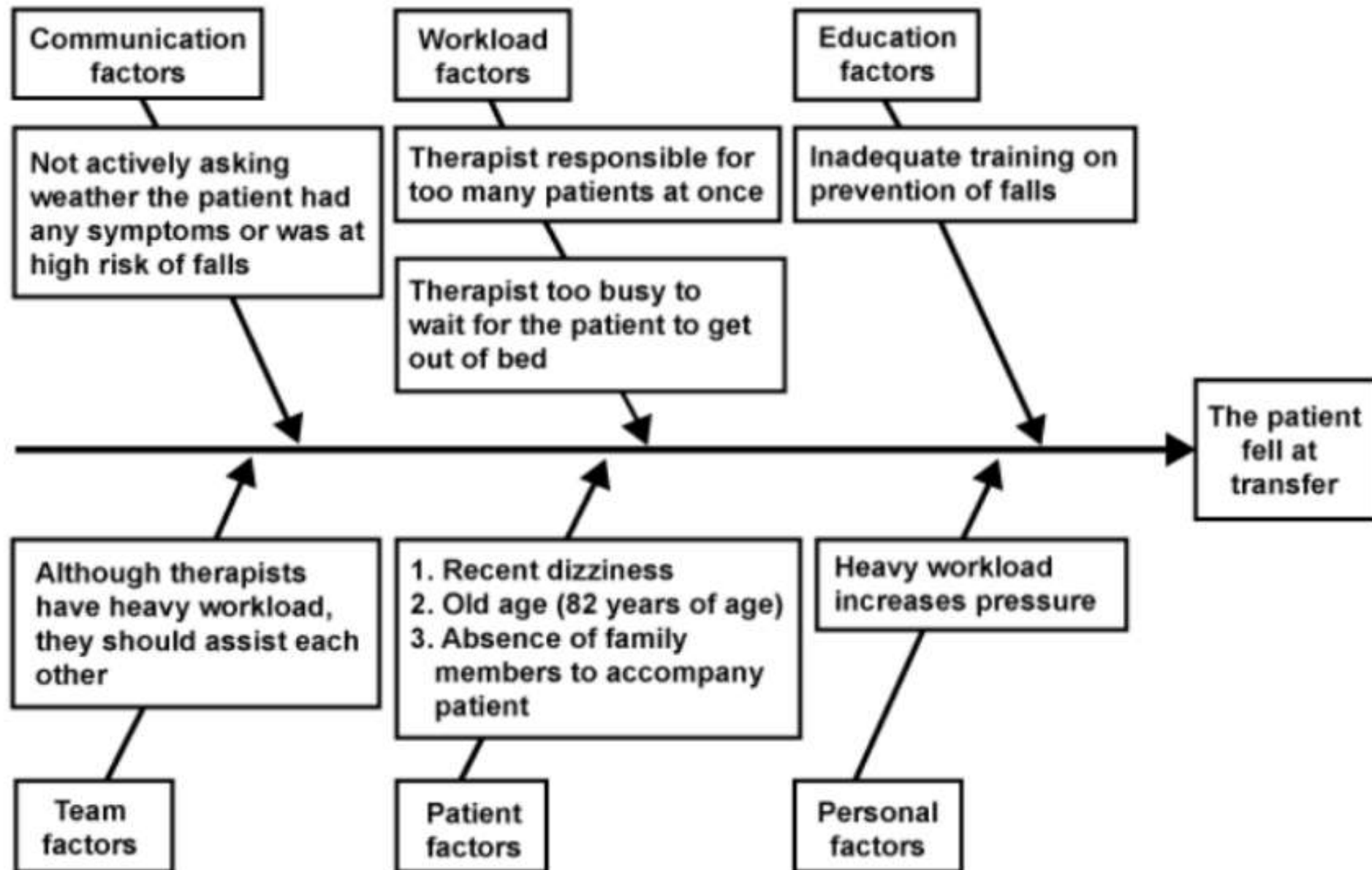


**Conducting deep dives**



**Trends after change**

# Root Cause Fishbone Diagram: Patient Fall



# Control Plan

Y	X	Specification	Monitoring	Reaction Plan
Decrease Falls		Decrease falls by 50%		
	Lack of protocol	100% protocol roll out in all units	Monthly	Process will reinforce Protocol roll out in all units if < 100% for 2 consecutive months
	Lack of training	90% of units trained in new protocols	Monthly	HEO will ensure all staff educated
	Lack of routine assessment	100% compliance with routine assessment	Weekly	

# Implementation – 4 E's

## Engage

Win the hearts & minds  
of your team(s)

## Educate

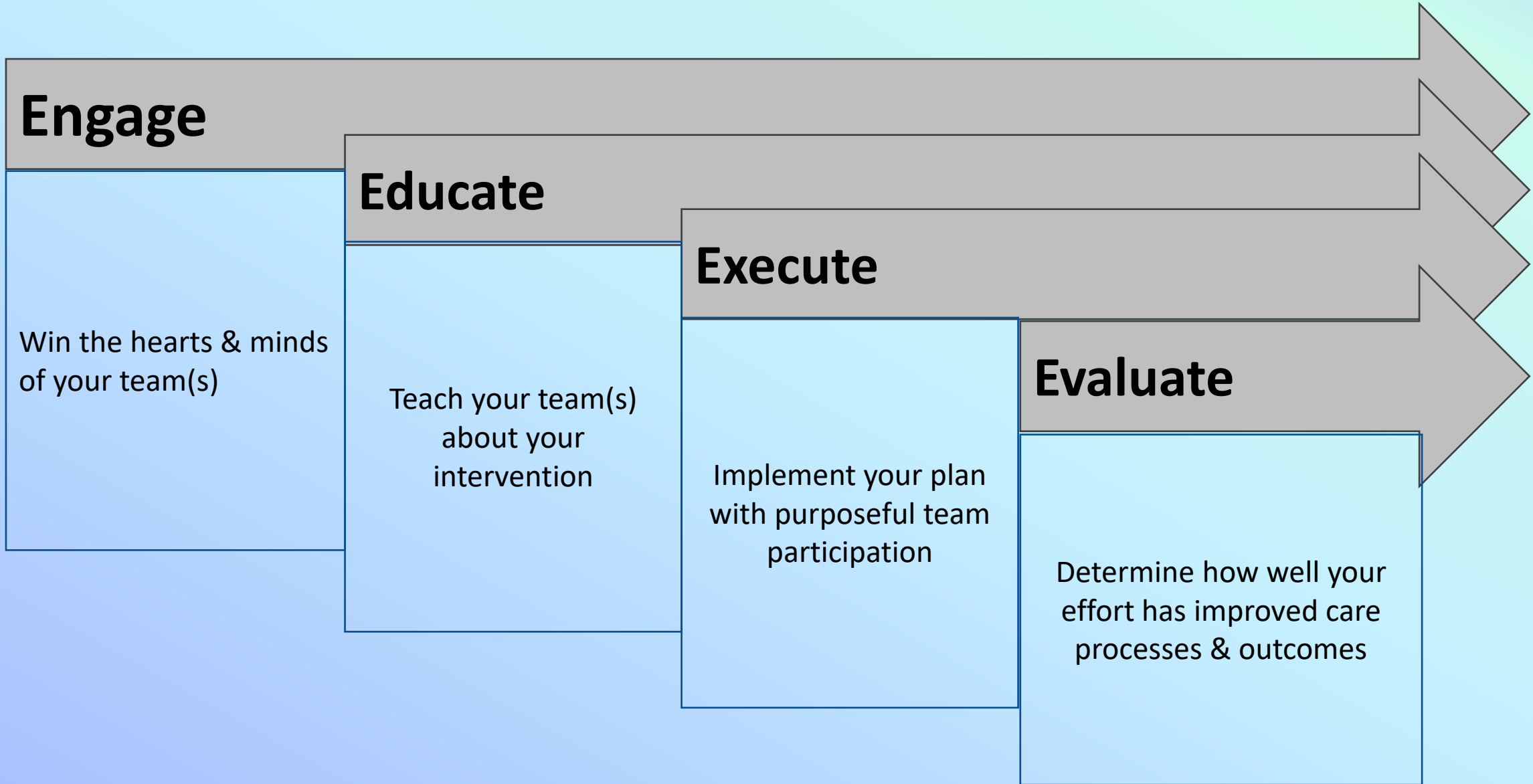
Teach your team(s)  
about your  
intervention

## Execute

Implement your plan  
with purposeful team  
participation

## Evaluate

Determine how well your  
effort has improved care  
processes & outcomes





## 'Mantra' of Quality Improvement

Don't Give up!!!  
the beginning is  
the always hardest

*Thank You*