

Implementation of the Framework of engagement with non-State actors (FENSA)

Respondents: Regional offices, Country offices and Headquarter clusters assessing its implications

Introduction:

1. The 138th Executive requested the Secretariat to provide a balanced and objective report of the implications of the implementation of the Framework of engagement with non-State actors (FENSA) well in advance of the resumed session of the Open-ended intergovernmental meeting of 25-27 April.
2. To this end, all WHO Regional Offices and Clusters in Headquarters and a selection of Country Offices are invited to provide their inputs through this questionnaire. In addition a more detailed matrix of analysis will be sent for comments to FENSA focal points in regions and clusters.
3. In order to assure that we can present a balanced and objective report to Member States, the External Auditor has kindly agreed to validate and comment this questionnaire, the more detailed analysis matrix and write the final report.
4. The adoption and implementation of FENSA will modify the way WHO manages its engagement with non-State actors (NGO's, private sector entities, philanthropic foundations and academic institutions). The main changes concern the following points
 - a. FENSA is covering all engagements within with all non-State actors, while the current policies covered engagement with private sector entities and NGOs in official relations only
 - b. Transparency will be increased through the Register of non-State actors (including information on objectives, governance and funding of non-State actors and description of engagements)
 - c. FENSA calls for a consistent implementation at all 3 levels of the Organization and all regions and hosted partnerships through an electronic workflow, due diligence by central unit for, a guide for staff, clear decision making
 - d. FENSA will increase accountability towards Members States by strengthened oversight of the Executive Board
 - e. The Director General will report annually on engagement with non-State actors
5. Some of the proposals made during the negotiation process have not been included in the text and are no longer under consideration. They should therefore also be excluded from the analysis of implications of FENSA implementation. Such issues include in particular:
 - a. FENSA applies only to engagement with non-State actors as institutions and not to engagements with individual experts.
 - b. There will not be a defined ceiling for contributions received from non-State actors
 - c. Due diligence and risk assessment is a process conducted by the Secretariat with no direct involvement of Member States

- d. Free services provided by non-State actors are an in-kind contribution, but not covered by the not yet agreed provisions on secondments.
6. Several current policies are confirmed by the draft Framework and often made more explicit:
 - a. WHO does not engage with the tobacco and arms industries
 - b. Official relations (while currently all entities are called NGO's, non-State actors in official relations will in the future be distinguished in NGOs, International Business Associations and Philanthropic foundations)
 - c. Several specific paragraphs on private sector engagement (such as clinical trials) are transposed from the current guidelines into the private sector policy.
 - d. The CPSC (Committee on Private Sector Cooperation) will be replaced by an engagement coordination group ECG
7. For information here are the elements which would likely be covered in the report on implications of implementation of FENSA:
 - a. Changes to the work of WHO governing bodies
 - b. Costs of implementation
 - i. Direct financial costs of implementation
 - ii. Direct human resource costs
 - iii. Indirect human resource costs
 - iv. Startup costs
 - GEM build up to provide the IT tool for the Register of non-State actors
 - Training costs
 - Additional burden of filling the register with first time entries
 - c. Potential efficiency savings through implementation of FENSA
 - i. Information gathering
 - ii. Clarity on actors, process and earlier decisions
 - d. Added value of FENSA
 - i. Stronger protection from undue influences
 - ii. Coherence in engagement across WHO and across different engagements
 - iii. Clarity on engagement
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- v. Better information, documentation, intelligence and lessons learnt on non-State actors and engagements
- vi. Clear process of senior management decision making
- e. Risks of FENSA
 - i. Potentially cumbersome process
 - ii. High number of engagement
 - iii. Lack of flexibility
 - iv. Potential bottle-neck in due diligence and risk assessment process
- f. Changes to the engagement opportunities and risks
 - i. Policy changes in engagement
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QUESTIONS:

8. Please provide a rough estimate of the numbers of engagements per year (e.g. in 2015) and by type of engagement in the following table. (please note that this refers to formalized engagement as defined in the paragraphs 15-21 of the draft FENSA and not to informal interactions, for engagements covering more than one type count them only once for the most relevant type)

At Regional Office / Cluster level (excluding country office engagements)

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs					
Private sector entities					
Philanthropic foundations					
Academic institutions					

At Country level (regional offices are invited to ask a selection of country offices for estimates to be extrapolated and to provide the raw data from these offices as well)

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs	36				23
Private sector entities					
Philanthropic foundations					
Academic institutions					1

Comments on the methodology used and its difficulties of this estimation,

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9. Please describe the main opportunities you see for the work of your region / cluster through the adoption and implementation of FENSA

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10. Please describe the main risks you see for the work of your region / cluster through the adoption and implementation of FENSA. This question does not refer to the risks of individual engagements as defined in FENSA but rather to the overall risks and challenges of implementing FENSA as a new policy.

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11. Please describe the specific resources (staff and activity costs) currently working on engagement with non-State actors within your region / cluster.

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12. Please describe the specific incremental resources (staff and activity costs) that you would expect to be necessary to implement FENSA :

One off resources/costs:

Recurring or On-going resources/costs:

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 - e. The Director General will report annually on engagement with non-State actors
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6. Several current policies are confirmed by the draft Framework and often made more explicit:
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8. Please provide a rough estimate of the numbers of engagements per year (e.g. in 2015) and by type of engagement in the following table. (please note that this refers to formalized engagement as defined in the paragraphs 15-21 of the draft FENSA and not to informal interactions, for engagements covering more than one type count them only once for the most relevant type)

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NGOs					
Private sector entities					
Philanthropic foundations					
Academic institutions					

At Country level (regional offices are invited to ask a selection of country offices for estimates to be extrapolated and to provide the raw data from these offices as well)

WCO Egypt

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs	✓	X	MoU with Rotary	✓	✓
Private sector entities	X	X	X	X	X
Philanthropic	✓	X	Bloomberg	X	✓

foundations			agreement for road safety		
Academic institutions	✓	✓	Engagements are through National counterpart	X	✓

Comments on the methodology used and its difficulties of this estimation,

The methodology is satisfactory but it should shed the light on specific countries experiences with lessons learned

9. Please describe the main opportunities you see for the work of your region / cluster through the adoption and implementation of FENSA

Greater attention need to be emphasised on Non State Actors for achieving better outcomes for our interventions, this should include, NGOs, private sector, academic institutions and philanthropic foundations

Depending on non-State actors should entail all aspects including implementation of projects, follow up and monitoring issues and for fund raising issues.

10. Please describe the main risks you see for the work of your region / cluster through the adoption and implementation of FENSA. This question does not refer to the risks of individual engagements as defined in FENSA but rather to the overall risks and challenges of implementing FENSA as a new policy.

Currently, it is almost impossible to authorise and register NGOs in Egypt due to Government restrictions on the functions of NGOs.

It is rather difficult to initiate a new supplier for WHO, as we are considered saturated already

11. Please describe the specific resources (staff and activity costs) currently working on engagement with non-State actors within your region / cluster.

A very recent MoU with Rotarian district 2451 has been approved and signed to provide technical support and exert collaboration on different health fronts as awareness in cases of hepatitis, diabetes, blood donation and road safety

12. Please describe the specific incremental resources (staff and activity costs) that you would expect to be necessary to implement FENSA :

One off resources/costs:

Supporting nominated and selected non State actors by needed infrastructure based on needs

Activities associated costs

Recurring or On-going resources/costs:

Staff costs

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QUESTIONS:

8. Estimation of the volume of engagements. Questions in paragraphs 9 and 10 try to estimate the volume of engagements which should in the future be handled through the process defined by FENSA. The External Auditors will compile your input from country, regional and headquarter level into an overall estimation. Please note that this refers to formalized engagement as defined in the paragraphs 15-21 of the draft FENSA and not to informal interactions. Formal engagements include amongst others: a meeting with official invitations, agenda, list of participants, etc; any interaction involving a signature of an agreement or MoU to receive resources, work as implementing partner, allow the use of advocacy material, enter into technical collaboration, etc. Preparation for such engagement or informal contacts by phone, e-mail or informal discussion are not considered as engagements. For a meeting only the non-State actors who have actually participated should be counted, not all those who have been invited. A series of meetings in the same year on the same subject with the same or similar invitation lists should be counted as one engagement.
9. Please provide a rough estimate of the **numbers of non-State actors** you engaged with in 2015 by type of engagement in the following table for your region (excluding country level), cluster or country office respectively.

Cluster / Regional office / country office: __Lebanon_____

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs	50				10
Private sector entities	10*				10*
Philanthropic foundations					

Academic institutions			8		4
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*: orders and syndicates

10. Please provide a rough estimate of the numbers of **engagements** in 2015 by type of engagement in the following table. For engagements covering more than one type count them only once for the most relevant type.

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs	25				
Private sector entities	10				
Philanthropic foundations					
Academic institutions			10		2

Comments on the methodology used and its difficulties of this estimation,

Not clear what is the type of engagement

11. Please estimate the number of non-State actors your cluster / regional office / country office engages with in emergency situations (as described in the Emergency Response Framework) and describe the type of these engagements

Around 50

12. Please describe the main opportunities you see for the work of your region / cluster / country office through the adoption and implementation of FENSA

A structured system of engagement

13. Please describe the main risks you see for the work of your region / cluster/ country office through the adoption and implementation of FENSA. This question does not refer to the risks of individual engagements as defined in FENSA but rather to the overall risks and challenges of implementing FENSA as a new policy.

It will limit the creativity and the innovation

14. Please describe the specific resources (staff and activity costs) currently working on engagement with non-State actors within your region / cluster/ country office.

None; existing technical staff as part of their routine work

15. Please describe the specific incremental resources (staff and activity costs) that you would expect to be necessary to implement FENSA. If applicable please give resource needs for the focal points and central processes in regions / clusters separate from estimations for resource needs of technical units and explain your assumptions and methodologies :

One off resources/costs:

NA

Recurring or On-going resources/costs:

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9. Please provide a rough estimate of the **numbers of non-State actors** you engaged with in 2015 by type of engagement in the following table for your region (excluding country level), cluster or country office respectively.

Cluster / Regional office / country office: ___Oman_____

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs					
Private sector entities	1				
Philanthropic foundations					

Academic institutions	2				
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Private sector entities	1				
Philanthropic foundations					
Academic institutions	2				

Comments on the methodology used and its difficulties of this estimation,

WR met with Port Salalah on two occasions at the request of the Port because of the government interest in having a port in the country that could respond to regional needs in terms of emergency response. It is difficult to determine the kind of engagement.

11. Please estimate the number of non-State actors your cluster / regional office / country office engages with in emergency situations (as described in the Emergency Response Framework) and describe the type of these engagements

None

12. Please describe the main opportunities you see for the work of your region / cluster / country office through the adoption and implementation of FENSA

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14. Please describe the specific resources (staff and activity costs) currently working on engagement with non-State actors within your region / cluster/ country office.

We don't have any formal engagement with non-State actors in Oman. Occasionally, WHO is invited to participate in an exhibition or attend a conference by Sultan Qaboos University. In addition, academics occasionally visit the WHO office to obtain information (i.e., about a World Health Day, WHO work in Oman, etc.). I don't envision it developing further in the next two or three years.

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One off resources/costs:

None

Recurring or On-going resources/costs: None

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 - ii. Incentive changes for engagement

QUESTIONS:

8. Estimation of the volume of engagements. Questions in paragraphs 9 and 10 try to estimate the volume of engagements which should in the future be handled through the process defined by FENSA. The External Auditors will compile your input from country, regional and headquarter level into an overall estimation. Please note that this refers to formalized engagement as defined in the paragraphs 15-21 of the draft FENSA and not to informal interactions. Formal engagements include amongst others: a meeting with official invitations, agenda, list of participants, etc; any interaction involving a signature of an agreement or MoU to receive resources, work as implementing partner, allow the use of advocacy material, enter into technical collaboration, etc. Preparation for such engagement or informal contacts by phone, e-mail or informal discussion are not considered as engagements. For a meeting only the non-State actors who have actually participated should be counted, not all those who have been invited. A series of meetings in the same year on the same subject with the same or similar invitation lists should be counted as one engagement.
9. Please provide a rough estimate of the **numbers of non-State actors** you engaged with in 2015 by type of engagement in the following table for your region (excluding country level), cluster or country office respectively.

Cluster / Regional office / country office: _____ Sudan Health Cluster _____

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs	31 NGOs active at field level (13 INGOs, 18 NNGOs)	Eligible Partners can access Sudan Humanitarian Fund which is a pooled fund processed under the cluster	NGOs are doing need assessment, submitting regular progress reports (4W).	Health cluster is sharing health gaps at field level reflected by the field working NGOs.	WHO is supporting the NGOs by training their staff (RRT), providing guidelines and conducting

		coordination mechanisms, also, WHO support financially NGOs to provide health services in areas of emergency.	Cluster Monitoring officer conduct field visits to assure the quality of services.		rumour and outbreak investigations .
Private sector entities					
Philanthropic foundations					
Academic institutions					

10. Please provide a rough estimate of the numbers of **engagements** in 2015 by type of engagement in the following table. For engagements covering more than one type count them only once for the most relevant type.

	Participation	Resources	Evidence	Advocacy	Technical collaboration
NGOs					
Private sector entities					
Philanthropic foundations					
Academic institutions					

Comments on the methodology used and its difficulties of this estimation,

NGOs were engaged with Health Cluster on monthly basis through the coordination meetings and ahoc meetings also were health emergencies are discussed (outbreaks, new displacements...).information sharing between field level and central level is challenged by security, accessibility and capacity of staff at field level.

11. Please estimate the number of non-State actors your cluster / regional office / country office engages with in emergency situations (as described in the Emergency Response Framework) and describe the type of these engagements

31 NGOs active at field level (13 INGOs, 18 NNGOs)

12. Please describe the main opportunities you see for the work of your region / cluster / country office through the adoption and implementation of FENSA

NA

13. Please describe the main risks you see for the work of your region / cluster/ country office through the adoption and implementation of FENSA. This question does not refer to the risks of individual engagements as defined in FENSA but rather to the overall risks and challenges of implementing FENSA as a new policy.

NA

14. Please describe the specific resources (staff and activity costs) currently working on engagement with non-State actors within your region / cluster/ country office.

Health Cluster Coordinator, Monitoring and Reporting Officer, Information management officer.

15. Please describe the specific incremental resources (staff and activity costs) that you would expect to be necessary to implement FENSA. If applicable please give resource needs for the focal points and central processes in regions / clusters separate from estimations for resource needs of technical units and explain your assumptions and methodologies :

One off resources/costs:

Recurring or On-going resources/costs: